PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL	
FORM	

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Typed or printed name

Signature

Application Number	10/721,888
Filing Date	November 26, 2003
First Named Inventor	David Frank
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	9351-252

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	· · · · · · · · · · · · · · · · · · ·	ENCLOSURES	check all that apply)			
Fee Transmittal Form		☐ Drawing(s)		After Allowance Communication to Group		
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Rep	ly	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Conve		Proprietary Information		
Affidavits/decl	aration(s)	Power of Attorne Change of Corre	ey, Revocation espondence Address	Status Letter		
Extension of Time	Request	Terminal Disclair	mer	Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)		Letter		
Information Disclo	sure Statement					
Certified Copy of F	Priority	Remarks				
Response to Miss Incomplete Applic			•			
Response to M Parts under 3 1.52 or 1.53						
	SIGNA	TURE OF APPLICA	ANT, ATTORNEY, O	RAGENT		
Firm or Individual name BERESKIN & PARR, Meredith Brill, Reg. No. 55,763						
Signature Muledith B. Brill						
Date	Date March 19, 2004					
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date



Meredith Brill B.A. Sc. (Chem.Eng.), LLB 416 957 1688 mbrill@bereskinparr.com

Our Reference: 9351-252

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents & Trademarks Washington, D.C. 20231 U.S.A.

Dear Sir:

Re: United States Patent Application Serial No. 10/721,888

For: CATALYTIC HUMIDIFIER AND HEATER, PRIMARILY FOR...

Filed: November 26, 2003 Applicant: David Frank et al

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, applicant hereby submits an Information Disclosure Statement including (1) a listing of patents and other publications of which applicant is aware on form PTO-1449 and (2) a copy of each listed item.

Since all the enclosures are in English an explanation of relevance is neither required nor provided.

In accordance with the requirements of 1.97(b)(1) and (3), this Information Disclosure Statement is filed within three months of the filing date of the Application or before the mailing of the first Office Action on the merits, whichever event occurs last.

Please deduct any additional fees which may be required or remit any excess fees, to our deposit account no. 02-2095.

In view of the forgoing, it is respectfully submitted that the Information Disclosure Statement should be considered at this time by the Examiner.

Respectfully submitted,

Meredith Brill

Registration No. 55,763

MB/elb Encl.

PTO/SB/08a (05-03) Approved for use through 04/30/2003. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number	10/721,888		
Filing Date	November 26, 2003		
First Named Inventor	David Frank et al	_	
Art Unit	N/A		
Examiner Name	N/A		
Attorney Docket Number	9351-252		

	U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY			
	1	US- 3,909,299	09-30-1975	Corrigan		
	2	US- 5,192,627	03-09-1993	Perry, Jr. et al.		
	3	US- 5,248,567	09-28-1993	Amemiya et al.		
	4	US- 5,541,014	07-30-1996	Micheli et al.		
	4	US- 5,753,383	05-19-1998	Cargnelli et al.		
	5	US- 6,110,614	08-29-2000	Fellows	-	
	6	US- 6,376,113	04-23-2002	Ediund et al.		
• • • • • • • • • • • • • • • • • • • •	7	US- 6,416,891	07-09-2002	Condit et al.		
<u> </u>	8	US- 6,472,092	10-29-2002	Matsuda et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,		
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Date Applicant of Cited Passages or Relevan	Where Relevant Passages or Relevant Figures Appear	T⁴
	1	EP 0 878 443	11-18-98	Fujikin Inc.			
	2	EP 0 666 237	08-09-95	OHMI, Tadahiro			
	3	Abstracts of Japan 58049431	03-23-83	Denki Kagaku Keiko Co. Ltd.			
	4	Abstracts of Japan 63225477	09-20-88	Mitsubishi Electric Corp.			
	5	Abstracts of Japan 03125807	05-29-91	Babcock Hitachi KK			
	6	CA 2,242,176	12-30-98	Ballard Power Systems Inc.			
	7	WO 00/54356	09-14-00	Siemens Aktienge- sellschaft			

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	1	K. Stephen & B. Dahm, "Catalytic Combustion of Hydrogen in a Diffusive Burner", pp. 1483-1492, Catalytic Combustion of Hydrogen in a Diffusive Burner			

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Examin Signatu	-	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file

(and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take to take to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.